Review of the Directive on the re-use of public sector information (PSI Directive)


About this consultation
19 September 2017 to 12 December 2017
Policy area: Digital Economy & Society

Responses to this consultation
The Commission will publish the replies shortly after the end of the consultation period.

Consultation outcome
The Commission will summarise the replies after the end of the consultation period. The results will be taken into account in the context of the review of the PSI Directive.

Contact
If you have any questions or problems regarding this public consultation, please contact: CNECT-PSI-REVIEW-CONSULTATION@ec.europa.eu

Targeted respondents
All interested parties, including governments, public sector content holders and users, commercial and non-commercial re-users, experts and academics as well as citizens are invited to contribute.

Context and purpose of the consultation
The Commission is launching a public consultation in view of reviewing the Directive on the re-use of public sector information (PSI Directive). As foreseen in the May 2017 Mid-Term Review of the Digital Single Market strategy (COM(2017) 228), and in order to fulfil the goals of the strategy in the field of the data economy, the Commission is preparing an initiative on accessibility and re-use of public and publicly funded data, and is at the same time further exploring the issue of privately held data which are of public interest.

The Directive 2003/98/EC on the re-use of public sector information is a core element of the European strategy to open up government data for use in the economy and for reaching societal goals. Revised by Directive 2013/37/EU (PSI Directive) in July 2013, it encourages Member States (MS) to make as much material held by public sector bodies available for re-use as possible to foster transparency, data-based innovation and fair competition.

The European Commission launches the review of the PSI Directive, fulfilling the periodic review obligation contained in its article 13, and at the same time furthering the goals of the DSM Strategy in the field of data economy.
The questions of this online consultation cover both the evaluation of the current Directive implementation and the problem, objectives and possible options for the future.

ABOUT YOU

* You are welcome to answer the questionnaire in any of the 24 official languages of the EU. Please let us know in **which language you are replying**:
  - Bulgarian
  - Croatian
  - Czech
  - Danish
  - Dutch
  - English
  - Estonian
  - Finnish
  - French
  - Gaelic
  - German
  - Greek
  - Hungarian
  - Italian
  - Latvian
  - Lithuanian
  - Maltese
  - Polish
  - Portuguese
  - Romanian
  - Slovak
  - Slovenian
  - Spanish
  - Swedish

* **Publication of your response:**
  
  Note that, whatever option chosen, your response may be subject to a request for public access to documents under Regulation (EC) N°1049/2001

  - my response can be published with my personal information (I consent to the publication of all information in my response in whole or in part including my name or my organisation’s name, and I declare that nothing within my response is unlawful or would infringe the rights of any third party in a manner that would prevent the publication).
  - my response can be published without the information I provided in replies to questions about me or my organisation’s name, registration number and e-mail address (I consent to the publication of all the other information in my response in whole or in part (which may include quotes or opinions I express). I declare that nothing within my response is unlawful or would infringe the rights of any third party in a manner that would prevent the publication).
Please keep my contribution confidential. It will not be published, but will be used internally within the Commission.

*You are responding:
○ as a citizen.
○ on behalf of an association representing the interests of its members.
○ on behalf of a public organisation.
○ on behalf of a business.
○ other.

*First name:
200 character(s) maximum
Fred

*Name:
100 character(s) maximum
Saunderson

*e-mail address:
200 character(s) maximum
f.saunderson@nls.uk

*Name of your organisation:
200 character(s) maximum
Libraries and Archives Copyright Alliance (LACA)

*Website of your organisation:
200 character(s) maximum
www.cilip.org.uk/laca

*Contact details of your organisation (address, telephone, etc.):
300 character(s) maximum
7 Ridgemount Street, London WC1E 7AE

*Where is your organisation located in or what is your nationality (if you reply as a citizen)?
○ European / international
○ Austria
○ Belgium
○ Bulgaria
○ Croatia
Cyprus
Czech Republic
Denmark
Estonia
Finland
France
Germany
Greece
Hungary
Ireland
Italy
Latvia
Lithuania
Luxembourg
Malta
Netherlands
Poland
Portugal
Romania
Slovak Republic
Slovenia
Spain
Sweden
United Kingdom
Other

*Which type of association do you represent (more than one option is possible)?

☐ Trade, business other professional association
☐ Non-governmental organisation
☐ Research and academic institutions
☐ Public entities, including regional and municipal authorities
☐ Other

*How many members are you representing?

300 character(s) maximum

We represent 30 members, including other membership organisations, professional bodies, research bodies, local and National Libraries and Archives, Museums and independent copyright experts

*You are responding primarily:

☐ because you hold data that is or could be covered by the PSI-Directive.
☐ because you are interested in re-using public sector data.
☐ other.

*Is your organisation included in the EU Transparency Register?

☐ Yes
No

*Please, indicate your EU Transparency Register number:

100 character(s) maximum

11749694961-85

**Explanatory remarks:**
This consultation concerns primarily the evaluation and review of the Directive on the re-use of public sector information.

The first part of the survey (Q1-Q8) concerns the evaluation of the implementation and functioning of the current EU legislative framework on the re-use of government data (PSI Directive).

The second part of the survey (Q9-Q18) looks into the possible improvements of this framework in the future.

The third part of the survey (Q 19a-Q21b) reflects the current discussion on the possibility to allow public sector bodies to access and use data coming from private sector entities, whenever this would be justified by public interest considerations.

You are welcome to reply to any part of the questionnaire separately or to all three parts of the questionnaire at once.

The Directive leaves intact and in no way affects the level of personal data protection under the provisions of EU and national law. All current and future provisions of the Directive will have to be implemented and applied in full compliance with the principles relating to the protection of personal data.

The Directive employs the term 'document' to refer to any content, whatever its medium (paper, electronic, sound, visual, etc.) and any part of such content. For the purposes of the present consultation, this term may be replaced by words such as 'data', 'dataset' or 'information'.

Documents held by public sector bodies but covered by third party Intellectual Property Rights are excluded from the scope of the Directive. They are therefore not affected by the current consultation exercise.

**PART I: EVALUATION**

The PSI Directive was adopted in 2003 and subsequently amended by the Directive 2013/37/EU. The purpose of this part of the questionnaire is to help the Commission assess whether the Directive in its current shape has met the needs of citizens and business and to assist the Commission in making the legal framework simpler and less costly to apply.

Do you want to answer this section?

When clicking yes, the questions related to this section will appear. Please, allow a few seconds for the system to generate the questions.

- **Yes**
- **No**

**EFFECTIVENESS OF THE PSI DIRECTIVE:**

The PSI Directive provides a common legal framework for a European market for government-held data
The main objective of the Directive is to remove barriers that hinder the re-use of public sector information throughout the European Union. It harmonises the rules and practices relating to the exploitation of such information to stimulate the creation of new data-based services and products.

**Q1: Based on your experience, do you consider that the objectives of the PSI Directive are being met? In particular:**

<table>
<thead>
<tr>
<th><strong>More data held by public sector bodies, including cultural heritage institutions, has become available for re-use.</strong></th>
<th>Strongly agree</th>
<th>Slightly agree</th>
<th>Slightly disagree</th>
<th>Strongly disagree</th>
<th>I don't know</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th><strong>Public sector information is increasingly becoming a source of innovative services and products.</strong></th>
<th>Strongly agree</th>
<th>Slightly agree</th>
<th>Slightly disagree</th>
<th>Strongly disagree</th>
<th>I don't know</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th><strong>Public sector information circulates freely across the EU and cross-border applications based on such information are easy to implement.</strong></th>
<th>Strongly agree</th>
<th>Slightly agree</th>
<th>Slightly disagree</th>
<th>Strongly disagree</th>
<th>I don't know</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th><strong>PSI has become more affordable, including for Start-ups and SMEs.</strong></th>
<th>Strongly agree</th>
<th>Slightly agree</th>
<th>Slightly disagree</th>
<th>Strongly disagree</th>
<th>I don't know</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th><strong>Exclusive agreements between public sector bodies and third parties are used only exceptionally and are strictly limited to the cases mentioned in the Directive (e.g. necessary for the provision of the public service).</strong></th>
<th>Strongly agree</th>
<th>Slightly agree</th>
<th>Slightly disagree</th>
<th>Strongly disagree</th>
<th>I don't know</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Open field:**

*Please, add further comments if necessary.*

*1000 character(s) maximum*

**EFFICIENCY OF THE PSI DIRECTIVE:**

The Directive aims to generate socio-economic benefits by limiting barriers to an open re-use of government data. At the same time, the implementation of the Directive may incur compliance costs on the side of the public sector bodies.

**Q2: Based on your experience, do you agree that the cost-benefit analysis of the PSI Directive is overall positive? In particular:**
The costs borne by the public sector bodies in implementing the Directive (e.g. adapting IT infrastructure, lower income from charges) are offset by socio-economic benefits of re-using data (e.g. creation of new digital applications and products, increased transparency).

Compliance with the Directive requires better data management processes of public institutions which leads to cost savings and increased operational efficiency.

In case a request for re-use is rejected and an applicant decides to appeal to the decision of public sector body, the redress procedure is swift, efficient and does not imply excessive costs.

Open field:
Please, add further comments if necessary.

### RELEVANCE OF THE PSI DIRECTIVE:

At the time when the PSI Directive was adopted, different national rules and practices were limiting the supply of PSI available for re-use which slowed down the creation of a common market for public sector information, significantly harming data-based innovation.

**Q3:** Given the technological progress (such as widespread use of internet) and increased awareness (Open Data movement), would you agree that the PSI Directive is still relevant, in particular by ensuring:

<table>
<thead>
<tr>
<th>Strongly agree</th>
<th>Slightly agree</th>
<th>Slightly disagree</th>
<th>Strongly disagree</th>
<th>I don’t know</th>
</tr>
</thead>
<tbody>
<tr>
<td>Supply of PSI into the EU single market.</td>
<td>🟦</td>
<td>🟦</td>
<td>🟦</td>
<td>🟦</td>
</tr>
<tr>
<td>Sufficient usability (e.g. machine-readability) of data.</td>
<td>🟦</td>
<td>🟦</td>
<td>🟦</td>
<td>🟦</td>
</tr>
</tbody>
</table>
Q4: A wide variety of licencing conditions with varying degrees of limitations for access and use was identified as an obstacle to PSI re-use in the previous evaluation of the Directive. According to your experience, does this variety of different licenses and re-use conditions still continue to be a barrier to an efficient and effective re-use of public sector information?

- Strongly agree
- Slightly agree
- Slightly disagree
- Strongly disagree
- I don’t know

Open field:

Please, add further comments if necessary.

1000 character(s) maximum

Even though cultural heritage organisations subject to PSI are using standard licence terms, e.g. Creative Commons (CC), there is still interoperability issues between CC licences. However funding terms and conditions are increasingly requiring the mandatory use of specific types of CC licences, such as the CC BY NC licence required by the HLF (Heritage Lottery Fund) - which is contributing very positively to the ability of users to re-use PSI.

**COHERENCE OF THE PSI DIRECTIVE:**

In addition to the PSI Directive, access to and the re-use of public sector information can be affected by rules stemming from other EU and national legal acts.

Q5: Based on your experience, do you agree that rules of the PSI Directive are well aligned and complementary to the rules based on other EU legal acts relevant to the area of re-use, in particular:

<table>
<thead>
<tr>
<th>COHERENCE OF THE PSI DIRECTIVE:</th>
<th>Strongly agree</th>
<th>Slightly agree</th>
<th>Slightly disagree</th>
<th>Strongly disagree</th>
<th>I don't know</th>
</tr>
</thead>
</table>

Legislation on the protection of personal data (Directive 95/46/EC and the GDPR).


National access regimes (rules which limit access to certain documents on the grounds of national security, commercial confidentiality, etc.).

Open field:
Please add further comments if necessary.
1000 character(s) maximum

**EU ADDED VALUE:**
Prior to the adoption of the PSI Directive, rules and practices regarding the re-use of PSI varied significantly across the EU Member States. One of the aims of the EU intervention was to achieve minimum harmonisation, thereby reducing disparities between the Member States.

**Q6: Based on your experience, do you agree that EU-level intervention has been beneficial for the extent to which PSI is re-used across the EU? In particular:**

<table>
<thead>
<tr>
<th>Statement</th>
<th>Strongly agree</th>
<th>Slightly agree</th>
<th>Slightly disagree</th>
<th>Strongly disagree</th>
<th>I don't know</th>
</tr>
</thead>
<tbody>
<tr>
<td>The PSI Directive has played a role in encouraging the national authorities to open up more public sector data.</td>
<td>○</td>
<td>○</td>
<td>○</td>
<td>○</td>
<td>○</td>
</tr>
<tr>
<td>The Directive has facilitated access to public sector information from countries other than my own.</td>
<td>○</td>
<td>○</td>
<td>○</td>
<td>○</td>
<td>○</td>
</tr>
<tr>
<td>The Directive is conducive to the creation of an EU-wide market for products and services based on public sector information.</td>
<td>○</td>
<td>○</td>
<td>○</td>
<td>○</td>
<td>○</td>
</tr>
</tbody>
</table>

Open field:
Please add further comments if necessary.
1000 character(s) maximum

Apart from PSI Regs. one of the core driving factors of facilitating the access to PSI, has been the contractual obligations of funders such as HLF and Jisc who provide funding for mass digitisation.

**SIMPLIFICATION:**
One of the objectives of the current evaluation is to ensure that the rules in force are sufficiently clear and do not lead to legal uncertainty or undue administrative costs linked to their implementation.

**Q7: In the light of the considerations above, what would be your assessment of the PSI Directive?**

<table>
<thead>
<tr>
<th>Overall, the provisions of the PSI Directive are easy to understand and implement by the public sector bodies and re-users alike.</th>
<th>Strongly agree</th>
<th>Slightly agree</th>
<th>Slightly disagree</th>
<th>Strongly disagree</th>
<th>I don't know</th>
</tr>
</thead>
<tbody>
<tr>
<td>Some provisions of the Directive could be further simplified or made clearer (if so, please mention which ones in the open field below).</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Open field:

*Please add further comments if necessary.

1000 character(s) maximum

The PSI Regs. have been complicated to understand and more simplification would be very beneficial. Organisations have struggled to understand what is in scope of their "public task". In the UK, some law firms have used the complexity of the PSI Regs. to scare-monger the sector and create further uncertainty.

**PART II: REVIEW**

The Directive contains a review clause according to which the Commission should evaluate its implementation and communicate the results of this exercise, along with possible proposals for amendments. This part of the questionnaire aims to identify areas which would benefit from EU intervention of legislative or non-legislative nature.

Do you want to answer this section?

*When clicking yes, the questions related to this section will appear. Please, allow a few seconds for the system to generate the questions.*

- Yes
- No

**PRACTICAL ARRANGEMENTS FOR ACCESS AND SEARCH OF DOCUMENTS:**

The Directive aims to facilitate search for documents held by public sector bodies and to make data easier to process by computers. To that end it recommends publishing data and metadata in machine-readable, open formats (Art. 5). The Directive refers also to dynamic data (e.g. data from sensors or satellites) in its recital 12, but contains no obligation for public sector bodies to make this data available in a timely manner.

**Q9: To which extent would you agree with the following statements?**
<table>
<thead>
<tr>
<th>Statement</th>
<th>Strongly agree</th>
<th>Agree</th>
<th>Neutral</th>
<th>Disagree</th>
<th>Strongly disagree</th>
<th>I don't know</th>
</tr>
</thead>
<tbody>
<tr>
<td>Public sector bodies already make available dynamic data (e.g. sensor, satellite data) for re-use in a timely and easy manner.</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>Public sector bodies should make available metadata (sets of data describing other data) in a mandatory formal open standard (e.g.: DCAT-AP).</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>Public sector bodies should make available data they hold in a mandatory open standard.</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>More needs to be done to encourage public sector bodies to provide dynamic data in real time, including investing in the appropriate technical solutions (e.g. APIs) that increase the usability of the data.</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
</tbody>
</table>

Open field:

*Please, give reasons for your choice.*

*1000 character(s) maximum*

We think that making such data available in a mandatory open standard is too broad and does not take into account the heterogeneous nature of the data we hold. Such a requirement would also need to take into account the costs of this and funding would specifically need to be funded to this activity.

**CHARGING RULES:**
The Directive contains rules that prevent public bodies from setting excessive or arbitrary charges on the re-use of documents. Since the revision of the Directive in 2013, the default rule for charging for the re-use of public sector information is that of marginal cost of dissemination (Art. 6.1). Some exceptions to this rule are foreseen (e.g. when public bodies are required to generate revenue to cover substantial part of their operating costs). The Directive specifically mentions the rules on charging as one of the areas that may require legislative change in the present review.

Q10: To which extent would you agree with the following statements?

<table>
<thead>
<tr>
<th>Statement</th>
<th>Strongly agree</th>
<th>Agree</th>
<th>Neutral</th>
<th>Disagree</th>
<th>Strongly disagree</th>
<th>I don't know</th>
</tr>
</thead>
<tbody>
<tr>
<td>Current wording of Article 6 of the Directive is good: no changes are needed.</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>Exceptions to Article 6.1 should be abolished: marginal cost of dissemination should become the upper limit for charging by all public sector bodies, save for cultural heritage institutions.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>------------------------------------------------------------------------------------------------</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>The circumstances under which exceptions to Art. 6.1 are allowed should be more narrowly defined.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other changes need to be made to the wording (detail in open field).</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Open field:

*Please, give reasons for your choice.*

*1000 character(s) maximum*
DATA HELD BY EDUCATIONAL AND RESEARCH ESTABLISHMENTS/SCIENTIFIC INFORMATION:

With the exception of documents held by university libraries, documents held by educational and research establishments, schools and universities are currently exempt from the scope of application of the PSI Directive. Relevant documents fall broadly into two categories: a) documents of administrative nature such as budgets, enrolment of students, human resources and b) documents that constitute the scientific output of a research establishment or university.

Q11: To which extent would you agree with the following statements?

<table>
<thead>
<tr>
<th>Strongly agree</th>
<th>Agree</th>
<th>Neutral</th>
<th>Disagree</th>
<th>Strongly disagree</th>
<th>I don't know</th>
</tr>
</thead>
<tbody>
<tr>
<td>Documents held by educational and research establishments, schools and universities that are of administrative nature should become available for re-use with as few restrictions as possible (other than those necessary to preserve individuals’ privacy, commercial confidentiality and legitimate rights of third parties etc.).</td>
<td>○</td>
<td>○</td>
<td>○</td>
<td>●</td>
<td>○</td>
</tr>
<tr>
<td></td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>----------------</td>
<td>---</td>
<td>---</td>
<td>---</td>
<td>---</td>
<td>---</td>
</tr>
<tr>
<td>Educational and research establishments, schools and universities should not be obliged to allow the re-use of their documents of administrative nature. However, when they choose to do so, then they have to apply the same conditions to all re-users (prohibition of discrimination and of exclusive arrangements) and be transparent.</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>The current legal framework is good. No changes need to be made.</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>Other (please, explain in the open field).</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
</tr>
</tbody>
</table>
Open access to scientific publications and research data are considered to be an important enabler of innovation and scientific progress. The Commission Recommendation of 17 July 2012 on access to and preservation of scientific information recommends that Member States, research funding organisations and academic institutions put policies in place that ensure that scientific research results (publications and research data) are in principle available on an open access basis (free of charge online access and unrestricted re-usability).

Q12a: Do you agree that scientific research results (publications and research data) resulting from public funding should in principle be open access (free of charge online access and unrestricted re-usability)?

- Yes
- No

Yes in principle this should be required through funding agreements rather than legislative obligations and changes.
### Q12b: To which extent would you agree with the following statements?

<table>
<thead>
<tr>
<th>Strongly agree</th>
<th>Agree</th>
<th>Neutral</th>
<th>Disagree</th>
<th>Strongly disagree</th>
<th>I don't know</th>
</tr>
</thead>
<tbody>
<tr>
<td>Documents held by educational and research establishments, schools and universities that are of scientific nature should become available for re-use with as few restrictions as possible (other than those necessary to preserve individuals' privacy, commercial confidentiality and legitimate rights of third parties, etc.).</td>
<td>○</td>
<td>○</td>
<td>○</td>
<td>○</td>
<td>○</td>
</tr>
<tr>
<td>There should be a common /harmonised European policy on access to and re-use of scientific information (publications and research data) binding on all research funding organisations and academic institutions in Europe.</td>
<td>○</td>
<td>○</td>
<td>○</td>
<td>○</td>
<td>○</td>
</tr>
</tbody>
</table>
Open field:

Please, give reasons for your choice.

1000 character(s) maximum

Yes in principle this should be required through funding agreements rather than legislative obligations and changes.

DATA HELD BY ENTITIES PROVIDING SERVICES OF GENERAL INTEREST:

Services of general interest (e.g. public transport, postal services, healthcare) can be provided either directly by the state or by publicly controlled companies or on behalf of public authorities by independent economic operators (e.g. under a concession contract).

The data generated whilst providing services of general interest either by publicly owned companies or by independent economic operators on the basis of contracts are often exempt from the provisions of the PSI Directive. This may create an imbalance across the Member States, given that in some of them similar tasks are carried out by public sector organisations directly. As a consequence, the creation of pan-European information products based on this type of data might become difficult.

Q13: To which extent would you agree with the following statements?

<table>
<thead>
<tr>
<th>Statement</th>
<th>Strongly agree</th>
<th>Agree</th>
<th>Neutral</th>
<th>Disagree</th>
<th>Strongly disagree</th>
<th>I don't know</th>
</tr>
</thead>
<tbody>
<tr>
<td>Data generated in the context of the provision of a public task by publicly owned companies or by independent economic operators is currently available for re-use?</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Data in the area of public transport is currently available for re-use?</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Data produced by utilities (e.g. in the energy, waste and water sectors) is currently available for re-use?</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Data generated in the context of the provision of a predominantly publicly funded public task should be available for re-use irrespective of the public or private nature of the entity providing the service?</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Open field:

Please, give reasons for your choice.

1000 character(s) maximum
Requiring private organisations to make certain types of data available for reuse as part of their PSI obligations, will be complicated and will establish an unnecessary additional regulatory framework on them which may restrict their innovation and ability to compete in the open market.

Q14: If there were an obligation to make data generated in the context of the provision of a public task available, such data should:

- Become available for every potentially interested re-user.
- Become available only to the contracting authority (e.g. for better informed procurement on the basis of market information).
- Become available for other purposes (please explain below).
- I don't know.

RELATIONSHIP WITH THE DATABASE DIRECTIVE:
As a rule, the provisions of the PSI Directive do not affect intellectual property rights (IPRs) including sui generis rights (recital 22), while indicating that rights held by public sector bodies should be exercised in line with the provisions of the PSI Directive. Despite this, some public bodies have been tempted to invoke their sui generis right under the Directive 96/9/EC (Database Directive) to prevent the re-use of the content of their databases.

Q15a: Have you experienced situations where public sector bodies invoked their database rights to prevent the re-use of public sector information?

- Yes
- No

Q15b: In order to facilitate re-use of public sector information, would you consider it useful to clarify the relationship between the two directives, so as to ensure that public sector bodies cannot invoke database rights in order to prevent the re-use of public sector information?

- Yes
- No
- I don't know

NATIONAL ACCESS REGIMES:
The PSI Directive distinguishes between the notion of 'access' and that of 're-use'. The Member States are responsible for deciding which documents cannot be accessed (e.g. on the grounds of protection of national security, commercial confidentiality or in cases where existence of particular interest to access needs to be proved). If a document is not expressly excluded from access by national legislation, it becomes automatically available for re-use under the terms of the PSI Directive.

Q16: In this light, which of the following statements would you support (more than one option is possible)?

- The link between access and re-use is clear and useful. It prevents the release of documents the re-use of which could harm the interests of the state, individuals or third parties.
- National rules on access to documents (e.g. time-limits for obtaining a responses, administrative charges, lack of appeal options) are stricter than the rules foreseen by the PSI Directive and make the re-use of documents more difficult.
- The fact that access regimes differ from one Member State to another slows down the emergence of EU-wide services and products based on public sector information.
- The link between access and re-use is not clear. I find that many documents access to which is currently restricted should be available for re-use.
BARRIERS TO MAKING DATA AVAILABLE:

Q17a: According to your experience, what is the most common reason for not making data available cited by public sector bodies in general?

- Making the data available would be incompatible with personal data protection rules and obligations.
- Making the data available would be incompatible with data security rules and obligations.
- The data could reveal third parties’ proprietary or confidential information (e.g. intellectual property, trade secrets).
- The data could reveal otherwise confidential information.
- Making data available would be too costly.
- Risk of misuse of the data and of negative reputational impact.
- We do not hold the data requested.
- Other.

Q17b: According to your experience, what is the most common reason for not making data available cited by operators under a public service contractual arrangement (e.g. public passenger transport service) or operating a public concession?

- Making the data available would be incompatible with personal data protection rules and obligations.
- Making the data available would be incompatible with data security rules and obligations.
- The data could reveal third parties’ proprietary or confidential information (e.g. intellectual property, trade secrets).
- The data could reveal otherwise confidential information.
- Making the data available is not a task specified in the contractual arrangement and is too costly.
- Risk of misuse/misappropriation and related reputational impact.
- We do not hold the data requested.
- Other.

Q17c: After having gained access to data, which barriers to the re-use of data have you encountered (more than one option is possible)?

- Unclear or inconsistent terms and conditions for the reuse of the data.
- Lack of machine-readable/standardised licenses (e.g. Creative Commons).
- Lack of machine-to-machine interfaces (APIs) to build new products and services on the data.
- Poor quality metadata (e.g. lack of information on content, quality and context of the data).
- Lack of information on data management (e.g. unknown frequency of updates, change management, persistency of identifiers, long term availability of the dataset, backward compatibility of new versions etc.).
- Other.
Q18: What safeguards (if any) could be implemented to make personal data protection less of an issue in the context of re-use of public sector information?

1000 character(s) maximum

PART III: ACCESS BY PUBLIC SECTOR BODIES TO DATA OF PUBLIC INTEREST COMING FROM PRIVATE SECTOR ENTITIES

Note: The questions below do not concern the retention of data coming from private sector entities for purposes of criminal law enforcement or other purposes entailing decisions that directly and negatively affect individuals (e.g. immigration or taxation decisions).

In the current context of rapid development of communication and information technologies, public institutions are increasingly becoming not only the producers but also major consumers of data which they use to provide better services to citizens, as well as to other government organisations. For instance, cities may wish to access and re-use data from multiple sources such as sensor data to help improve urban mobility, while statistical institutes increasingly rely on access to new data sources to provide faster information to citizens, businesses and politicians, such as on prices for goods and services.

Do you want to answer this section?

*When clicking yes, the questions related to this section will appear. Please, allow a few seconds for the system to generate the questions.*

- Yes
- No

Q19a: In light of the above, do you agree that access to data coming from private sector entities and its use by public authorities for reasons of public interest should be allowed?

- Yes
- No

Q20a: What would be the possible motivations or incentives for sharing data of public interest with public authorities (more than one option possible)?

- None.
- Enrich offer of services by private entities.
- Increase quality of services of private entities.
- Foster data economy in specific markets.
- Use of defined and certified standards.
- Receive quality stamp for data products of private entities.
- Benchmark private with public data.
- Legal security on conditions of use of privately held data.
- Contribution to the Corporate Social Responsibility of private entities.
- Other.

Q20b: Which mode of data access would be most suitable for data sharing to take place (more than one option possible)?
Transfer of specific data directly between IT infrastructure of private entity and public authority?
Remote access to data of private sector entities by public authority on private IT infrastructure?
Remote access to data of private sector entities by public authority on separate IT infrastructure?
Remote access to data of private sector entities by public authority with application of agreed algorithms for data analysis and processing?
Transfer of processed and aggregated statistical data to public authority?
Other.

Q21a: Would specific legal measures need to be put in place to enable data access and use by public sector bodies?

☐ Yes
☐ No

DOCUMENT UPLOAD AND FINAL COMMENTS

Should you wish to provide additional information (e.g. a short position paper) or raise specific points not covered by the questionnaire, you can upload your additional document here.

*Please, note that the uploaded document will be published alongside your response to the questionnaire which is the essential input to this open public consultation. The optional document will serve only as additional background reading to better understand your position.*

Please upload your file

Useful links


Contact

CNECT-PSI-REVIEW-CONSULTATION@ec.europa.eu